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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Re the Application of:

Alan H. Gnauck et al.

Serial No.: 09/875,032

Filed: June 7, 2001

For: MODULATION SCHEME FOR TEDONS

Atty. Docket No.: 03493.00174

Group Art Unit: 2874

Examiner: TBA

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form.

Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application.

It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Applicants believe that no fee is necessary pursuant to 37 C.F.R. § 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: October 11, 2001

Catherine A. Ferguson  
Catherine A. Ferguson  
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PTO-1449 (Modified)	ATTY. DOCKET NO.	SERIAL NUMBER
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	03493.00174	09/875,032
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT Alan H. Gnauck et al.	
	FILING DATE June 7, 2001	GROUP ART UNIT 2874



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### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	A. Mecozzi, C. B. Clausen and M. Shtaif, "System Impact of Intra-Channel Nonlinear Effects of Highly Dispersed Optical Pulse Transmission", IEEE PHOTONICS TECHNOLOGY LETTERS, VOL. 12, No. 12, December 2000, pp. 1633-1635.

EXAMINER	DATE CONSIDERED
EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.	



Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		<b>Application Number</b>	09/875,032
		<b>Filing Date</b>	June 7, 2001
		<b>First Named Inventor</b>	Alan H. Gnauck et al. 00014
		<b>Group Art Unit</b>	2874
		<b>Examiner Name</b>	TBA
<b>Total Number of Pages in This Submission</b>	14	<b>Attorney Docket Number</b>	03493.00174

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>One Reference</b>
<b>Remarks</b>		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

<b>Firm or Individual name</b>	Catherine A. Ferguson, Reg. No. 40,877
<b>Signature</b>	<i>Catherine A. Ferguson</i>
<b>Date</b>	October 11, 2001

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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STATUS 019/PRE-EXAM PROCESSING-OIPF  
LOCATION 0300/INITIAL-EXAM CENTRAL FILES.